(1)

Supreme Court, U.S.
FILED

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OFFICE OF THE CLERK

No. 01-_____

In The

Supreme Court of the United States

Kingsley Mawhirt Pro-Se Petitioner

v.

ASHRAF NABIL AHMED, M.D., personally, MARSHA TANENBERG KARANT, M.D. , personally, HORATIO PREVAL, M.D. , YAKOV GREENSTEIN, M.D., personally, JOHN DOE, M.D., personally, JOE ROE, M.D., personally, POLICE OFFICER GONZALEZ, personally, Badge #3973, POLICE OFFICER ROMAGNOLI, personally, Badge # 4386, ROBERT DYE ESQ., personally, LEA IRION, R.N., personally, BARBARA MAWHIRT, personally

On petition For Writ Of Certiorari

To The U.S. Court of Appeals Second Circuit

Kingsley Mawhirt
414 W. Sunrise Hwy. #224
Patchogue, NY 11772
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31pp

QUESTIONS PRESENTED FOR REVIEW

1. Can a Suffolk County, New York police officer, arrest, take into custody, handcuff, and transport a United States citizen over the age of 21 on an involuntary basis to a New York State hospital psychiatric unit (Stony Brook University hospital) when; that citizen has not committed any crime whatsoever, has no outstanding Warrants of any kind, is not a danger to himself / herself or others, has not threatened anyone in any manner whatsoever, the citizen did not posses a weapon or any dangerous item, there is no Court Order or Proceeding to take the citizen into custody, there is no probable cause to take the citizen into custody, there is no legal reason to take the citizen into custody, and there is no complainant?

2. Can a Suffolk County, New York police officer, arrest, take into custody, handcuff, and transport a United States citizen over the age of 21 on an involuntary basis to a New York State hospital psychiatric unit (Stony Brook University hospital) when; that citizen has not committed any crime whatsoever, has no outstanding Warrants of any kind, is not a danger to himself / herself or others, has not threatened anyone in any manner whatsoever, the citizen did not posses a weapon or any dangerous item, there is no Court Order or Proceeding to take the citizen into custody, there is no probable cause to take the citizen into custody, there is no legal reason to take the citizen into custody, and there is no complainant; solely because that citizen is complying with the law by personally filing criminal complaints at the appropriate local police precinct which has the legal authority and jurisdiction to accept criminal complaints of unlawful

imprisonment, insurance fraud, perjured information on New York State hospital records, and a perjured / forged "Application for Involuntary Admission" / "Certificate of Examination" for an illegal involuntary admission to a New York State hospital psychiatric unit (Stony Brook University hospital) that occurred 4 months prior?

3. When is a Suffolk County, New York police officer allowed by U.S. District Court and the U.S. Court of Appeals to commit perjury beyond a reasonable doubt in a Federal Civil lawsuit, based on telephone records, 911 tape recordings, Suffolk County Police Department records, and Court depositions in an effort to establish probable cause for an arrest whereby; there was no legal basis for the Suffolk County police officer to take a United States citizen over the age of 21 into custody, no complainant, and no probable cause an for an arrest?

4. When can a United States citizen over the age of 21 be involuntarily committed to a New York State hospital psychiatric unit (Stony Brook University hospital) based on a perjured / forged "Certificate of Examination" and perjured / forged "Application for Involuntary Admission"?

5. Can a United States citizen over the age of 21 be involuntarily committed to a New York State hospital psychiatric unit (Stony Brook University hospital) without any examination, either physical or psychological, and no verbal communication whatsoever with the admitting doctor (Horatio Preval), who then signs a perjured / forged

"Certificate of Examination" and a perjured / forged "Application for Involuntary Admission?

6. When can a United States citizen over the age of 21 who is not a danger to himself / herself, has not threatened anyone, has no outstanding civil or criminal Warrants, has no outstanding Court proceeding or Court order for an involuntary commitment, and did not posses any dangerous item or weapon; be involuntarily committed to a New York State hospital psychiatric unit (Stony Brook University hospital) based on a perjured / forged "Certification of Examination" and an "Application for Involuntary Admission" (Yakov Greenstein / Marsha Karant) without a psychiatric evaluation or examination by any doctor whatsoever?

7. Can a doctor working as a New York State employee at a New York State hospital psychiatric unit (Stony Brook University hospital) incarcerate a United States citizen over the age of 21 when; there is no Court Order or Proceeding to take the citizen into custody, that citizen takes care of their own needs, has not committed any crime whatsoever, has no outstanding Warrants of any kind, is not a danger to himself / herself or others, did not posses any dangerous item or weapon, has not threatened anyone in any manner whatsoever, and there is no legal reason to take the citizen into custody?

8. Can a doctor working as a New York State employee at a New York State hospital psychiatric unit (Stony Brook University hospital) illegally incarcerate a United States

citizen over the age of 21 who; has not committed any crime whatsoever, did not posses any dangerous item or weapon, has no outstanding Warrants of any kind, is not a danger to himself / herself or others, has not threatened anyone in any manner whatsoever, there is no Court Order or Proceeding to take the citizen into custody, takes care of their own needs, there is no legal reason to take the citizen into custody, and the citizen takes care of their own needs; by deliberately and maliciously attempting to document a case against the incarcerated citizen to substantiate the illegal involuntary incarceration with fictitious, perjured, and forged medical information / hospital records?

9. When is a doctor working as a New York State employee at a New York State hospital psychiatric unit (Stony Brook University hospital) allowed to submit perjured information on a New York State Supreme Court application for "Treatment Over Objection" to forcibly inject a United States citizen over the age of 21 with dangerous, potentially lethal chemicals that cause permanent neurological damage?

10. When is a doctor (Ashraf Nabil Ahmed) working as a New York State employee at a New York State hospital psychiatric unit (Stony Brook University hospital) allowed to coerce an incarcerated United States citizen over the age of 21 by offering to restore the citizen's freedom, liberty, and United States Constitutional rights in exchange for the citizen ingesting dangerous, potentially lethal chemicals that cause permanent neurological damage?

11. Can a doctor working as a New York State employee at a New York State hospital psychiatric unit (Stony Brook University hospital) file a Court application for "Treatment Over Objection" in New York State Supreme Court for approval to use hypodermic syringe needle injections of dangerous, potentially lethal chemicals that cause permanent neurological damage into an involuntarily incarcerated United States citizen when; that citizen has not committed any crime whatsoever, did not posses any dangerous item or weapon, has no outstanding Warrants of any kind, is not a danger to himself / herself or others, has not threatened anyone in any manner whatsoever and takes care of their own needs?

12. Can a doctor working as a New York State employee at a New York State hospital psychiatric unit (Stony Brook University hospital), file a New York State Supreme Court application for "Treatment Over Objection" to forcefully inject an incarcerated United States citizen over the age of 21 with dangerous, potentially lethal chemicals without conducting the prerequisite medical examination / screening to rule out the risk factors associated with the injection / ingestion of those lethal chemicals named in the New York State Supreme Court application such as death and permanent neurological damage?

13. If a doctor working as a New York State employee at a New York State hospital psychiatric unit testifies at a New York State Supreme Court hearing for "Treatment Over Objection" that an involuntarily incarcerated United States citizen over the age of 21 is not a danger to themselves or others, takes care of their own needs, and has not threatened

anyone, should that citizen be released from the hospital incarceration?

14. If a doctor working as a New York State employee at a New York State hospital psychiatric unit testifies at a New York State Supreme Court hearing for "Treatment Over Objection" that an involuntarily incarcerated United States citizen over the age of 21 is not a danger to themselves or others, takes care of their own needs, and has not threatened anyone, can that citizen be involuntarily injected with dangerous, potentially lethal chemicals that cause permanent neurological damage?

15. Can doctors, nurses, and social workers acting as New York State employees at a New York State hospital psychiatric unit (Stony Brook University hospital), create and gain a legal advantage against a United States citizen over the age of 21 who stated the hospital staff would be named in a lawsuit for an illegal involuntary incarceration 4 months prior; by recommending, coercing, and influencing the citizen's spouse to obtain a New York State Family Court Order of Protection when that spouse had previously stated to the hospital psychiatric staff there was no need for an Order of Protection or police involvement?

16. Can doctors, nurses, and social workers acting as New York State employees at a New York State hospital psychiatric unit (Stony Brook University hospital), create and gain a legal advantage against a United States citizen over the age of 21 who stated the staff would be named in a lawsuit for an illegal involuntary incarceration 4 months prior; by

creating perjured and fictitious medical information on hospital medical records and producing perjured / forged medical records?

17. When can a doctor, who is a New York State employee (Stony Brook University hospital), violate a New York State Supreme Court Order, by deliberately and maliciously overdosing a United States citizen over the age of 21 with dangerous, life threatening, potentially lethal chemicals that cause permanent neurological damage, when that incarcerated citizen verbally notified the doctor that the overdose was illegal, and a violation of the New York State Supreme Court Order?

18. Can a R.N. (registered nurse) working as a New York State employee at a New York State hospital psychiatric unit (Stony Brook University hospital) attempt to forcibly medicate an involuntarily incarcerated United States citizen over the age of 21 who is refusing to ingest dangerous, potentially lethal drugs that cause permanent neurological damage; when there is no Court Order to forcibly medicate the U.S. citizen, the incarcerated citizen has previously notified the psychiatric unit hospital staff (doctors and nurses) that legal representation by an attorney and the patient rights advocate was immediately requested, the citizen is not a danger to himself / herself or others, has not threatened anyone, did not posses any dangerous items or weapons, and there are no outstanding Warrants?

19. Can a spouse who is a New York State R.N. (registered nurse) attempt to forcibly medicate a husband /

wife with dangerous, potentially lethal chemicals that cause permanent neurological damage while that husband / wife is involuntarily incarcerated at a New York State hospital psychiatric unit (Stony Brook University hospital), when there is no Court Order to forcibly medicate the citizen, and the incarcerated citizen has previously notified the psychiatric unit hospital staff (doctors and nurses) that legal representation by an attorney and the patient rights advocate was immediately requested?

20. Can a R.N. (registered nurse) working as a New York State employee at a New York State hospital psychiatric unit (Stony Brook University hospital) deliberately overdose an involuntarily incarcerated United States citizen over the age of 21 with a hypodermic needle injection of dangerous, potentially lethal chemicals that cause permanent neurological damage, after the citizen verbally notified the nurse that the injection overdose was a violation of a New York State Supreme Court Order and the citizen is not a danger to themselves or others and has not threatened anyone in any manner whatsoever?

21. Can a R.N. (registered nurse) working as a New York State employee at a New York State hospital psychiatric unit (Stony Brook University hospital) threaten to have a United States citizen over the age of 21 physically restrained, when the citizen is not a danger to themselves or others and has not threatened anyone; solely because that citizen is refusing to be injected with a 200% overdose of dangerous, life threatening chemicals, which is a violation of a New York State Supreme Court Order?

22. If a United States citizen over the age of 21 is involuntarily incarcerated at a New York State hospital psychiatric unit (Stony Brook University hospital) and that citizen verbally notifies the assigned New York State attorney acting as counsel (Robert Dye), that a violation of a New York State Supreme Court Order occurred; whereby the incarcerated citizen was deliberately injected with a 200% overdose of dangerous, potentially lethal chemicals that cause permanent neurological damage, and the assigned New York State attorney (Robert Dye) does nothing to protect the citizen's legal rights, health, and safety; and the citizen is deliberately overdosed by 200% a second time, can that attorney be liable for ineffective legal representation and malpractice and is that attorney (Robert Dye) acting in the best interests of his / her employer which is New York State?

23. If doctors at a New York State hospital psychiatric unit (Stony Brook University hospital) sign and submit a New York State Court application for "Treatment Over Objection" in New York State Supreme Court to forcibly inject and medicate a United States citizen over the age of 21 who is incarcerated at a New York State hospital psychiatric unit (Stony Brook University hospital), and those doctors violate the "Treatment Over Objection" application which was granted by New York State Supreme Court by deliberately overdosing the incarcerated citizen with a hypodermic syringe needle injection containing a 200% overdose of dangerous, potentially lethal chemicals that cause permanent neurological damage; can those doctors be held liable?

24. If doctors at a New York State hospital psychiatric unit (Stony Brook University hospital) sign and submit a New York State Court application for "Treatment Over Objection" in New York State Supreme Court to forcibly inject and medicate a United States citizen over the age of 21 who is incarcerated at a New York State hospital psychiatric unit (Stony Brook University hospital), and those doctors violate the "Treatment Over Objection" application which was granted in New York State Supreme Court by deliberately overdosing the incarcerated citizen with a hypodermic syringe needle injection containing a 200% overdose of dangerous, potentially lethal chemicals that cause permanent neurological damage, and those doctors along with the hospital administration are verbally notified by the incarcerated citizen that a violation of the New York State Supreme Court Order occurred, and those doctors deliberately and maliciously re-prescribe and overdose the incarcerated citizen a second time with another 200% overdose, can the prescribing doctors, supervising doctors, psychiatric unit administrator, and the hospital administration be held liable?

25. If a United States citizen over the age of 21 is involuntarily incarcerated at a New York State hospital psychiatric unit (Stony Brook University hospital), and the citizen requests representation by an attorney, instructs the assigned attorney (who is a New York State employee) to have an independent psychiatric evaluation performed because without an expert witness the incarcerated citizen would automatically lose, and that assigned New York State attorney does not provide an expert witness as requested which results in a ruling to forcibly medicate the incarcerated citizen with dangerous, potentially lethal, chemicals that cause permanent neurological damage, can that attorney be

held liable for deliberately providing ineffective representation and legal malpractice?

26. If a United States citizen over the age of 21 is incarcerated at a New York State hospital psychiatric unit (Stony Brook University hospital) and loses at a New York State Supreme Court hearing for "Treatment Over Objection" which results in the forced hypodermic needle injection of dangerous, potentially lethal chemicals that cause permanent neurological damage; and the incarcerated citizen instructs the assigned New York State attorney (Robert Dye) to appeal the decision to a higher Court, and that assigned New York State attorney ignores and disregards the verbal instruction by the incarcerated citizen, has that assigned New York State attorney provided ineffective legal representation and legal malpractice to the incarcerated citizen?

27. If a United States citizen over the age of 21 is incarcerated at a New York State hospital psychiatric unit (Stony Brook University hospital) and loses at a New York State Supreme Court hearing for "Treatment Over Objection" which results in the forced hypodermic needle injection of dangerous, potentially lethal chemicals that cause permanent neurological damage; and the incarcerated citizen instructs the assigned New York State attorney (Robert Dye) to appeal the decision to a higher Court, and that assigned New York State attorney ignores and disregards the verbal instruction by the incarcerated citizen, has that assigned New York State attorney provided ineffective legal representation and legal malpractice to the incarcerated citizen and thereby benefited the assigned attorney's employer which is New York State?

28. Can a social worker (Helen Conover) working as a New York State employee at a New York State hospital psychiatric unit (Stony Brook University hospital) release confidential patient information to the spouse of an involuntarily incarcerated United States citizen over the age of 21; with the full knowledge the spouse and the incarcerated husband / wife are engaged in an adversarial Family Court proceeding because the social worker (Helen Conover) was responsible for convincing the spouse to change his / her decision and obtain a New York State Family Court Temporary Order of Protection?

29. Can a social worker (Helen Conover) working as a New York State employee at a New York State hospital psychiatric unit (Stony Brook University hospital) deliberately and maliciously divulge both the date and time, and encourage the spouse of an involuntarily incarcerated husband / wife to attend a New York State Supreme Court hearing for "Treatment Over Objection" with the full knowledge that a "Temporary Stay Away Order of Protection" has been issued from New York State Family Court against one of the spouses, and there are pending adversarial legal proceedings in New York State Family Court and a pending matrimonial proceeding in New York State Supreme Court?

30. Can doctors working as New York State employees at a New York State hospital psychiatric unit (Stony Brook University hospital) work simultaneously as both a New York State employee in a New York State hospital with the protection of the New York State Attorney General's Office,

and as a private corporation owner / employee / entrepreneur / private contractor (S.B. Associates) that earns a profit / kickbacks / perks; based on the number of citizens incarcerated at the New York State hospital psychiatric unit, the length of the incarceration, kickbacks / perks from pharmaceutical companies based on the type and quantity of pharmaceutical chemicals prescribed, and from applications to New York State Supreme Court for "Treatment Over Objection" which increase the duration of an incarceration?

31. Can a doctor (Ashraf Nabil Ahmed) working as a New York State employee at a New York State hospital psychiatric unit (Stony Brook University hospital) discuss the medical and legal status of an involuntarily incarcerated United States citizen over the age of 21 with a divorce attorney who has been retained to work against the incarcerated citizen and has already filed a matrimonial action in New York State Supreme Court 3 months prior on behalf of the incarcerated citizen's spouse who is seeking a divorce?

LIST OF PARTIES

All parties appear in the caption of the case on the cover page.

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APPENDIX A Decision from the U.S. Court of Appeals for the 2nd Circuit (i)

TABLE OF AUTHORITIES CITED

CASES

As a Pro-Se plaintiff, I do not have the legal resources to cite specific examples of case law. However, the facts and proof of both criminal and civil violations of the applicable laws are clearly defined throughout the pleadings of this Civil Action with supporting evidence and documentation.

This Civil action is not about case law. The issues are New York State doctors who are not complying with the law, the illegal incarceration of citizens for profit, New York State hospital profitability, New York State corruption, Suffolk County police corruption, perjury, forgery, assault with illegal chemical injections, forced medication, criminal misconduct, violations of the law, Judicial decisions that ignore the facts and circumstances, deliberately ineffective legal malpractice, injustice, and Government protecting Government.

The case law cited by the defendants in this Civil action is not applicable per the facts and my Court pleadings. The case law presented by the defendants is an attempt to evade criminal and civil wrongdoing in a smoke and mirrors, slight of hand, disregard the actual evidence and documentation in this Pro-Se Civil action. Citing cases, as presented in the defendant's answers, should not be used as a method to avoid both criminal and civil wrongdoing and obscure the evidence, facts, and truth.

STATUTES AND RULES

Federal statutes and rules: 42 U.S.C. §1983
(i)28 U.S.C. §1331
28 U.S.C. §d1391(b)

New York State Mental Hygiene Law: Section 9.37
Section 9.37(a)
Section 9.39

IN THE

SUPREME COURT OF THE UNITED STATES

PETITION FOR A WRIT OF CERTIORARI

Petitioner respectfully requests that a writ of certiorari be issued to review the judgment below.

OPINIONS BELOW

The opinion of the United States Court of Appeals appears at Appendix "A" to this petition and is unpublished.

JURISDICTION

The date on which the United States Court of Appeals for the 2nd Circuit decided my case was May 17th 2001.

The jurisdiction of this Court is invoked under 28 U.S.C. §1254(1).

CONSTITUTIONAL AND STATUTORY PROVISIONS INVOLVED

Per the "Jurisdiction and Venue" section of this Civil Action's "First Amended Complaint – Civ. 96-4773 (ADS)" filed on or about January 16, 1997, Federal Law (i) 28 U.S.C. §1331 authorizes jurisdiction in U.S. District Courts of Civil Actions arising under the U.S. Constitution, Laws, and Treaties of the United States.

Venue for this Civil Rights Action which arose in Suffolk County, New York is in U.S. District Court, Eastern District of New York pursuant to 28 U.S.C. §1391(b).

Additional Constitutional and Statutory Provisions listed in the "First Amended Complaint – Civ. 96-4773" are the involuntary incarceration of the Pro-Se Plaintiff on an emergency basis pursuant to New York Mental Hygiene Law ("MHL") §9.37, §9.37(a), and §9.39.

This Civil Action for an illegal involuntary incarceration also includes Criminal Acts under New York State Law that the Suffolk County police department and the New York State Attorney General refused to investigate and prosecute. The felonies and misdemeanor crimes are stated in this Civil Action with supporting information and documents.

The Criminal misconduct includes forged / perjured documents / hospital records, insurance fraud, coercion, and assaults with illegal chemical injections.

Per the "First Amended Complaint – Civ. 96-4773", the false arrest, unlawful imprisonment, illegal involuntary incarcerations, illegal chemical injections, forced medications, coercion, and deliberately ineffective legal malpractice / misconduct, violated the Pro-Se plaintiff's right to procedural due process of law under the Fourteenth Amendment to the United States Constitution and further violated 42 U.S.C. §1983.

STATEMENT OF THE CASE

This Civil Rights action arises out of the Pro-Se plaintiff, Kingsley Mawhirt's, wrongful confinement at New York State University Hospital Stony Brook (UHSB).

Because of two erroneous involuntary incarcerations at UHSB, the Pro-Se plaintiff seeks compensatory and punitive damages against the physicians who illegally incarcerated him in their personal capacities, against the physicians, nurses, and plaintiffs former wife, who forcibly medicated and overdosed the plaintiff against his will, against the police officers who arrested him, in their personal capacities, against the hospital administration that ignored his complaints of forced medications which includes illegal chemical injections, in their personal capacities, against social worker Helen Conover who released confidential patient information, in her personal capacity, and against Robert Dye, Esq., the assigned New York State attorney who represented him in his New York State Supreme Court hearing related to his involuntary incarceration.

REASONS FOR GRANTING THE WRIT

The lower Courts completely disregarded and ignored the factual documentation and evidence of Government corruption, unlawful imprisonment, perjury by the Suffolk County police officer defendants, perjury and forgery by the other defendants, perjured / forged Stony Brook University hospital records, Suffolk County police department 911 tape recordings, Suffolk County police department records, telephone records, an unjust ruling by New York State Supreme Court, deliberately ineffective legal malpractice by a New York State appointed attorney who is an employee of New York State, Government protecting Government, abuse of authority, coercion, assault with potentially lethal illegal chemical injections, and the incarceration of citizens for profit by doctors at Stony Brook University hospital who have the protection of the New York State Attorney General's office to protect them from criminal and civil investigations and prosecution as presented in my Court pleadings.

I am requesting the United States Supreme Court to review my current and prior Court pleadings and the evidence / documentation presented.

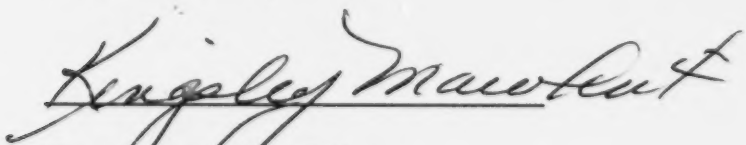
CONCLUSION

Wherefore, the Pro-Se plaintiff requests the following relief:

(a) A jury trial
(b) Compensatory damages in an amount to be determined at trial
(c) Punitive damages in an amount to be determined at trial
(d) Injunctive relief directing the expungement of hospital records
(e) The cost of Attorney's fees pursuant to 42 U.S.C. §1988
(f) Costs and disbursements
(g) Any other relief that this Court deems just and proper

The petition for a writ of certiorari should be granted.

Respectfully submitted,



Date: August 14, 2001

APPENDIX A

UNITED STATES COURT OF APPEALS

FOR THE SECOND CIRCUIT

THIS SUMMARY ORDER WILL NOT BE PUBLISHED IN THE FEDERAL REPORTER AND MAY NOT BE CITED AS PRECEDENTIAL AUTHORITY TO THIS OR ANY OTHER COURT, BUT MAY BE CALLED TO THE ATTENTION OF THIS OR ANY OTHER COURT IN A SUBSEQUENT STAGE OF THIS CASE, IN A RELATED CASE, OR IN ANY CASE FOR THE PURPOSES OF COLLATERAL ESTOPPEL OR RES JUDICATA.

At a stated term of the United States Court of Appeals for the Second Circuit, held at the United States Courthouse, Foley Square, in the City of New York, on the 17th day of May, two thousand one.

PRESENT: HON. JAMES L. OAKES
HON. RALPH K. WINTER
HON. CHESTER J. STRAUB

Circuit Judges

KINGSLEY MAWHIRT,

Plaintiff-Appellant,

SUMMARY ORDER
No. 00-7312

v.

ASHRAF NABIL AHMED, M.D.; MARSHA TANENBERG KARANT, M.D., personally; HORACIO PREVAL, M.D., personally; JOHN DOE, M.D.; personally, ROE DOE, M.D., personally; PO GONZALEZ, personally Badge #3973; PO ROMAGNOLI, personally Badge #4385; ROBERT DYE, personally; YAKOV GREENSTEIN, M.D., personally; LEA IRION, RN; BARBARA MAWHIRT,

Defendants-Appellees,

Appearing for Appellant: Kingsley Mawhirt, pro-se, Holtsville, NY.

Appearing for the Appellees: Marion R. Buchbinder, New York; Robert K. Drinan, Mineola, NY; Arlene S. Zwilling, Hauppauge, NY; James O'Neil, Nesconset, NY.

Appeal from the United States District Court for the Eastern District of New York (Arthur D. Spatt, Judge).

AFTER SUBMISSION AND UPON DUE CONSIDERATION, IT IS HEREBY ORDERED, ADJUDGED AND DECREED that judgment of the District

Court is hereby AFFIRMED IN PART and VACATED AND REMANDED IN PART.

Kingsley Mawhirt, pro-se, appeals from a judgment of the United States District Court for the Eastern District of New York (Arthur D. Spat, Judge) granting summary judgment to Dr. Ashraf Nabil Ahmed, Dr. Marsha Tanenberg Karant, Dr. Horacio Preval, two unidentified doctors, attorney Robert Dye, Dr. Yakov Greenstein, and Registered Nurse Lea Irion (collectively "the State defendants"); Suffolk County Police Officers Gonzalez and Romagnoli ("the officers"); and his ex-wife, Barbara Mawhirt, on claims alleging deprivation of his constitutional rights and violations of state tort law resulting from his involuntary hospitalization at University Hospital Stony Brook ("UHSB") in June and October 1995.

On appeal, Mawhirt principally contends that the District Court erred by disregarding the contested issues of material fact raised in his papers in opposition to the defendants-appellees' motions. He also attaches a January 1996 "Summary of Forensic Psychological Evaluation" by Dr. Alan Klein, which concludes that "at the time of this evaluation", [Mawhirt] did not evidence diagnostic indicators of the disorder of Paranoid Schizophrenia," and finds "no indication that Mr. Kingsley Mawhirt constitutes a danger to himself or others."

On review of a grant of summary judgment, this Court determines de novo whether there is a genuine issue of material fact and if the moving party is entitled to judgment as a matter of law. See Scaria v. Rubin, 117 F. 3d 652,653 (2d Cir. 1997).

The District Court properly granted summary judgment to the defendants-appellees on Mawhirt's federal constitutional claims, false imprisonment claim against the officers, and assault and battery claims against Barbara Mawhirt. As the District Court noted, the involuntary civil commitments did

implicate Mawhirt's liberty interests as protected under the Due Process Clause. See Vitek v. Jones, 445 U.S. 480, 491-92 (1980). However, while Mawhirt disputed the medical and judicial conclusions that supported the involuntary commitments in June and October 1995, he raised no genuine issue of material fact as to whether he had been afforded procedural due process under the New York Mental Hygiene Law. The officers also did not violate Mawhirt's procedural due process rights when they transported him to UHSB in October 1995 because he was not entitled to a hearing before being taken to a hospital or psychiatric emergency program. See N.Y. Mental Hyg. Law §9.41 (McKinney 1999).

The State defendants were entitled to qualified immunity with respect to the substantive due process claims. See Doe v. Marsh, 105 F.3d 106, 109-10 (2d Cir. 1977). The record demonstrates that it was "objectively reasonable" for the medical personnel to believe that their participation in Mawhirt's involuntary commitments was lawful at the time of the conduct. See Glass v. Mayas, 984 F.2d 55,57 (2d Cir. 1993). Additionally, Mawhirt adduced no evidence rebutting the showings by the defendants-appellees that Mawhirt was a substantial danger to himself and to his family or establishing that any of the medical decisions constituted "a substantial departure from accepted judgment, practice, or standards." Kulak v. City of New York, 88 F.3d 63,75 (2d Cir. 1996) (internal quotation marks omitted).

The substantive due process claim against the officers arising from their October 1995 seizure of Mawhirt was properly construed as a claim under the Fourth Amendment. See Albright v. Oliver, 510 U.S. 266, 273-74 (1994); Glass, 984 F.2d at 58. However, the officers were entitled to qualified immunity because they acted reasonably and did not violate any of Mawhirt's clearly established rights when bringing him to UHSB.

The false imprisonment claims against the officers were properly dismissed because Mawhirt had not filed a notice of claim. See N.Y. Gen. Mun. Law §50-m(3)(McKinney 2000).

Mawhirt also failed to state a claim of ineffective assistance of counsel claim against Dye because, as a court appointed attorney representing Mawhirt in a state court commitment hearing, Dye was not acting "under color of state law" and thus is not subject to suit under 42 U.S.C. §1983. See Rodriguez v. Weprin, 116 F.3d 62, 65-66 (2d Cir. 1997). Moreover, the District Court properly declined to exercise supplemental jurisdiction over the state law assault and battery claims against Barbara Mawhirt. See Travelers Ins. Co. v. Keeling, 996 F.2d 1485,1490(2d Cir. 1993).

However, the District Court inappropriately dismissed the state law false imprisonment, assault, battery, and informed consent claims against the State defendants, concluding that all of these claims were barred from qualified immunity. Qualified immunity, to the extent it is asserted in connection with §1983 actions, is available only to public officials who have allegedly violated an individual's federal statutory or constitutional rights. See Harlow v. Fitzgerald, 457 U.S. 800, 818 (1982); Rodriguez v. Phillips, 66 F.3d470,475 (2d Cir. 1995). Because "a violation of state law is not cognizable under §1983, "Pollnow v. Glennon, 757 F.2d 496,501 (2d Cir. 1985), the federal law doctrine of qualified immunity does not apply to state law claims, such as those dismissed by the District Court.

Nonetheless, a district court should generally dismiss supplemental state law claims without prejudice where all federal law claims are eliminated before trial. See Travelers Ins. Co., 996 F.2d at 1490; 28 U.S.C. §1367(c)(3). Accordingly, the aforementioned state law claims should have been dismissed without prejudice.

Mawhirt's submission of Dr. Klein's psychological evaluation has no bearing on this appeal because it was not in evidence below and it does not address Mawhirt's condition when he was admitted to UHSB in June or October 1995.

For the reasons set forth above, we AFFIRM in part the judgment of the District Court. We VACATE the judgment in respect of the state law claims and REMAND the matter to

the District Court so it may dismiss the state law false imprisonment, assault, battery, and informed consent claims against the State defendants without prejudice.

FOR THE COURT:
ROSEANN B. MACKECHNIE, CLERK

"s/Lucille Carr" 5/17/01
BY:

Supreme Court, U.S
FILED

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On petition For Writ Of Certiorari

To The U.S. Court of Appeals Second Circuit

SUPPLEMENTAL APPENDIX

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UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK

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KINGSLEY MAWHIRT,

Plaintiff,

MEMORANDUM OF DECISION AND ORDER
96 CV 4773 (ADS)

-against-

ASHRAF NABIL AHMED, M.D.; MARSHA TANENBERG KARANT, M.D., YAKOV GREENSTEIN, M.D., HORACIO PREVAL, M.D., JOHN DOE, M.D., JOHN ROE, M.D., POLICE OFFICER GONZALEZ, POLICE OFFICER ROMAGNOLI, ROBERT DYE, ESQ., LEA IRION, RN, and BARBARA MAWHIRT,

Defendants.

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APPEARANCES:

KINGSLEY MAWHIRT
Plaintiff Pro Se
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SPATT, District Judge.

On September 27, 1996 Kingsley Mawhirt ("Mawhirt" or the "plaintiff") commenced this action alleging violations of his procedural and substantive due process rights as well as state law cause of action for false imprisonment and assault and battery in connection with two involuntary admissions to the University Hospital at Stony Brook ("UHSB") for psychiatric treatment.

Presently before the Court are: (1) the State defendants' motion to dismiss pursuant to Rule 12(b)(1) and (6) of the Federal Rules of Civil Procedure ("Fed. R. Civ. P.") seeking dismissal of all claims against Ahmed, Karant, Greenstein, Preval, Doe, Roe, and Dye; (2) the State defendants' motion for summary judgment pursuant to Fed. R. Civ. P. 56 seeking dismissal of all claims against the State defendants based on

the doctrine of qualified immunity; (3) the State defendants' motion for summary judgment pursuant to Fed. R. Civ. P. 56 seeking dismissal of the claims against Nurse Irion based on the undisputed facts; and (4) the County defendants' motion to dismiss pursuant to Fed. R. Civ. P. 12(b)(6). As all of the parties have attached various exhibits and affidavits to their motion papers and have been given the opportunity to present any other relevant material under Fed. R. Civ. P. 56, the Court will analyze the various motions in the context of a motion for summary judgment. See In re G. & A. Books, Inc., 770 F. 2d 288, 295 (2d Cir. 1985) (holding that the district court may convert a motion to dismiss to a motion for summary judgment when all of the parties have been given a reasonable opportunity to present all material made pertinent to such a motion by Rule 56); see also Cortec industries, Inc. v. Sum Holding L.P., 949 F. 2d 42, 47 (2d Cir. 1991).

I. BACKGROUND

The factual allegations are taken from the plaintiff's first amended complaint and the Local Civil Rule 56.1 statements filed by the parties. On June 13, 1995, the plaintiff went to UHSB complaining of insomnia. The plaintiff was examined by Dr. Preval who described Mawhirt as "having paranoid delusions that FBI, CIA, Mafia [are] after him and his family ... feels phones are bugged." Dr. Preval concluded that Mawhirt was psychotic and posed a substantial risk to himself and the community. As a result, the plaintiff was admitted to the psychiatric ward. A separate psychiatric assessment was made by Dr. Cieszkowski and progress notes were prepared recording the plaintiff's extreme paranoia. The progress notes indicated that the plaintiff had previously locked his family in their house.

The plaintiff claims that on June 14, 1995, he was harassed and physically forced by Nurse Irion and his wife, Barbara Mawhirt, to take an anti-psychotic drug called Trilafon. On June 16, 1995, the plaintiff was examined by Dr. Jafri who found that he was suffering from delusions, was very disorganized, anxious, and "very paranoid." Dr. Jafri also found the plaintiff's insight and judgment to be poor. Dr. Jafri's report indicates that the plaintiff was fearful that he and his family were being watched and monitored by a camera. In addition, Dr. Jafri's report reveals that the plaintiff believed that he had received messages from the television and toys. Dr. Jafri concluded that the plaintiff showed a tendency to harm his family. Against his will, Mawhirt remained confined at UHSB from June 13, 1995 to June 19, 1995, when he was released.

On October 1, 1995, the plaintiff voluntarily went to the Suffolk County Police Department's Fifth Precinct located on Waverly Avenue in Patchogue, New York. The plaintiff alleges that his wife called the police and gave them false information, which led Officers Gonzalez and Romagnoli to detain Mawhirt and take him to the UHSB psychiatric ward. The plaintiff contends that he was handcuffed and was not asked questions about his wife's allegations. Upon arrival at the UHSB, Officer Gonzalez completed a "Police Escort Information Form" which indicated that Mawhirt had a history of schizophrenia, was not taking his medication, was hearing voices and receiving messages through the television.

In addition, the plaintiff's wife provided a statement in support of an application for involuntary admission. The report disclosed that the plaintiff was verbally abusive, talked about "revenge" and that it was his turn to "beat" people. Mrs. Mawhirt also revealed that the plaintiff instructed her not to talk in the home about private things because the neighbors had installed video cameras in the home to spy on them. Also, Mrs. Mawhirt revealed that the plaintiff was under the belief that two helicopters were flying over their home in an effort to spy on them.

The plaintiff's complaint further states that on October 1, 1995 at 12:30 PM he was examined by Dr. Ahmed who diagnosed Mawhirt as a chronic paranoid schizophrenic with acute exacerbation. Dr. Karant, the attending psychiatrist, performed an examination confirming Dr. Ahmed's diagnosis. In addition, Dr. Greenstein concluded that Mawhirt was delusional and capable of unpredictable violence. Based upon Dr. Karant's conclusion that the plaintiff was "unpredictable" and a "substantial threat," he was certified for involuntary hospitalization.

On October 2, 1995, Mawhirt signed a request for a court hearing. On October 20, 1995 a hearing was held before Acting Supreme Court Justice Saverio J. Fierro. Mawhirt was represented by Robert Dye, Esq., a court appointed attorney. At the hearing, Dr. Ramos testified that he diagnosed Mawhirt as suffering from chronic paranoid schizophrenia and acute exacerbation. Dr. Ramos stated that "I found paranoid delusions and referential delusions as well. The patient would not speak, would not talk about the circumstances surrounding his admission for fear that somebody might be using that information against him." In fact, Dr. Ramos indicated that he overheard Mawhirt telling the other staff members that he felt unsafe in certain portions of the hospital due to surveillance cameras that were monitoring his behavior. Dr. Ramos concluded that the plaintiff posed a risk to himself and to society. In addition, Dr. Ramos recommended that the plaintiff be required to take anti-psychotic medication.

The plaintiff also testified at the October 20, 1995 hearing as follows:

> Q: Do you ever get any messages from the television set?

A: As far as I was concerned, I saw a blue screen message flash on the T.V.

Q: What did it say?

A: Kind of an insert.

Q: What did it say?

A: I'd have to, you know, think about it, but I saw a blue screen message flash.

* * *

Q: Do you think you're under surveillance?

A: I think I've been under surveillance, yes.

At the conclusion of the evidence Justice Fierro found that the State had met its burden in demonstrating that the plaintiff required medication and confinement due to the diagnosis of paranoid schizophrenia and the substantial risk of physical harm that he posed to himself and to society.

The plaintiff's complaint asserts eleven causes of action. The first cause of action is brought pursuant to 42 U.S.C. § 1983 ("Section 1983"), alleging that the plaintiff's right to procedural due process was denied when he was involuntarily confined on June 13, 1995 and October 1, 1995. The second cause of action asserts that under Section 1983, the plaintiff' substantive due process rights were violated by Officers Gonzalez and Romagnoli when they brought him to UHSB. The third cause of action alleges that the defendants Ahmed, Karant, Greenstein, and Preval violated his Section 1983 substantive due process right to liberty as a result of his

involuntary confinement. The fourth cause of action alleges that Officers Gonzalez and Romagnoli falsely imprisoned the plaintiff. The fifth cause of action asserts that defendants Karant and Greenstein falsely imprisoned the plaintiff. The sixth cause of action is also a claim of false imprisonment, but against defendants Ahmed, Preval, Doe and Roe. The seventh cause of action alleging that the administration of the anti-psychotic drug Trilafon by defendants Ahmed, Preval, Doe, and Roe deprived the plaintiff of due process of law and thus violated Section 1983. The eighth cause of action is identical to the seventh cause of action, but is asserted against defendant Irion. The ninth cause of action alleges that defendants Irion and Mawhirt committed assault and battery by forcing the plaintiff to take Trilafon. The tenth cause of action, asserts that defendants Ahmed, Preval, Karant, Greenstein, Doe, and Roe subjected the plaintiff to treatment decisions that departed from minimally accepted professional judgment and thus deprived him of due process of law and further violated Section 1983. Finally, the eleventh cause of action alleges a Section 1983 claim that defendant Dye deprived the plaintiff of effective assistance of counsel thereby violating the Fourteenth Amendment.

II. DISCUSSION

A. Standards of Review

1. **Self Representation**

In addressing the defendants' motion, the Court is mindful that the plaintiff is proceeding pro se and that his submissions should be held "to less stringent standards than

formal pleadings drafted by lawyers." Hughes v. Rowe, 449 U.S. 5, 9(1980)(per curiam)(quoting Haines v. Kerner, 404 U.S. 519,520[1972]); Fleming v. United States, 146 F.3d 88, 90 (2d Cir. 1998) (citations omitted). The Court recognizes that it must make reasonable allowances so that a pro se plaintiff does not forfeit his rights by virtue of his lack of legal training. Traguth v. Zuck, 710 F. 2d 90. 95 (2d Cir. 1983). However, the Court is also aware that being a pro se plaintiff "does not exempt a party from compliance with relevant rules of procedural and substantive law." Id. (quoting Birl v. Estelle, 660 F. 2d 592, 593 [5th Cir. 1981]).

2. **Fed. R. Civ. P. 56**

A court may grant summary judgment "only if the pleadings and evidentiary submissions demonstrate the absence of any genuine issues of material fact and the moving party is entitled to judgment as a matter of law." Tasini v. New York Times Co., 192 F. 3d 356, 360 (2d Cir. 1999); see also Hunt v Cromartie, 526 U.S. 541, 119 S. Ct. 1545, 1550, 143 L.Ed. 2d 731 (1999)(citing Celotex Corp. v. Catrett, 477 U.S. 317 [1986]; Anderson v. Liberty Lobby, Inc., 477 U.S. 242 [1986]); Turner v. General Motors Acceptance Corp., 180 F. 3d 451, 453 [2d Cir. 1999]; Fed. R. Civ. P. 56[c]). In this determination, the Court must resolve all ambiguities and draw all reasonable inferences in the light most favorable to the party opposing the motion. See Kerzer v. Kingly Mfg., 156 F. 3d 396, 400 (2d Cir. 1998); Twin Lab, Inc. v. Weider Health and Fitness, 900 F2d. 566, 568 (2d Cir. 1990); Tasini, 192 F.2d at 360.

According to the Second Circuit, "[s]ummary judgment is a tool to winnow out from the trial calendar those cases whose facts predestine them to result in a directed verdict." United National Ins. Co. v. The Tunnel, Inc., 988 F.2d 351, 355 (2d Cir. 1993). Once a party moves for summary judgment, in order to avoid the granting of the motion, the

non-movant must come forward with specific facts showing that a genuine issue for trial exists. Fagan v. New York State Elec. & Gas Corp., 186 F.3d 127,132 (2d Cir. 1999); West-Fair Elec. Contractors v. Aetna Cas. & Surgery Co., 78 F.3d 61, 63 (2d Cir. 1996); see also Western World Ins. Co. v. Stack Oil, Inc., 922 F. 2d 118, 121 (2d Cir. 1990)(quoting Fed. R. Civ. P. 56[e]). A genuine issue of material fact exists if "a reasonable jury could return a verdict for the moving party." Liberty Lobby, 477 U.S. at 248.

If there is evidence in the record as to any material fact from which an inference could be drawn in favor of the non-movant, summary judgment is unavailable. Holt v. KMI-Continental, Inc., 95 F. 3d 123, 129 (2d Cir. 1996), cert. denied, 520 U.S. 1228 (1997); Rattner v. Netburn, 930 F. 2d 204, 209 (2d Cir. 1991); Grain Traders, Inc. v. Citibank, N.A., 160 F. 3d 97, 100 (2d Cir. 1998). However, mere conclusory allegations, speculation, or conjecture will not avail a party resisting summary judgment. Kulak v. City of New York, 88 F. 3d 63, 70 (2d Cir. 1996). Finally, the Court is charged with the function of "issue finding," not "issue resolution." Gallo v. Prudential Residential Services, 22 F. 3d 1219, 1224 (2d Cir. 1994).

It is within the framework that the Court addresses the present motions to dismiss and for summary judgment.

B. Section 1983

42 U.S.C. Section 1983 provides, in relevant part, that "[e]very person who, under color of [state law] subjects, or causes to be subjected, any ... person within the jurisdiction [of the United States] to the deprivation of any rights, privileges, or immunities secured by the Constitution and laws, shall be liable to the party injured in an action at law [or a] suit [in] equity." A violation is proven when "a person acting under color of state law deprived a plaintiff of rights, privileges or immunities secured by the Constitution or laws

of the United States." McDarby v. Dinkins, 907 F. 2d 1334, 1336 (2d Cir. 1990) (citing Parratt v. Tgylo, 451 U.S. 527, 535 [1981]). Thus, a Section 1983 claim has two elements: (1) the defendant acted under color of state law; and (2) as a result of the defendant's actions, the plaintiff suffered a deprivation of his or her rights or privileges secured by the Constitution and laws. See Annis v. County of Westchester, 136 F.3d 239, 245 (2d Cir. 1998); Eagleston v. Guido, 41 F. 3d 865, 872 (2d Cir. 1994).

In order to satisfy the first requirement of acting under color of state law, the Second Circuit has required that the defendant exercise power "possessed by virtue of state law and made possible only because the wrongdoer is clothed with the authority of state law." Kern v. City of Rochester, 93 F. 3d 38, 43 (2d Cir. 1996) (quoting West v. Atkins, 487 U.S. 42, 49, 108 S. Ct. 2250, 2255, 101 L. Ed. 2d 40 [1988]).

The second requirement, that the plaintiff suffer a deprivation of his rights or privileges secured by the Constitution, requires that the plaintiff specifically allege a violation of the Constitution or an Act of Congress. Chapman v. Houston Welfare Rights Organization, 441 U.S. 600, 618, 99 S. Ct. 1905, 1916, 60 L. Ed. 2d 508 (1979).

1. Procedural Due Process

There can be no dispute that an involuntary civil commitment is a "massive curtailment of liberty," Vitek v. Jones, 445 U.S. 480, 491, 100 S. Ct. 1254, 1263, 63 L. Ed. 2d 522 (1980), and must therefore be accomplished in accordance with procedural due process. Project Release v. Prevost, 722 F. 2d 960, 971 (2d Cir. 1983). The Second Circuit stated in Rodriquez v. City of New York, 72 F. 3d 1051, 1062) (2d Cir. 1995) that "due process does not require a guarantee that a physician's assessment of the likelihood of serious harm be correct, ... [it only requires]

that the decision to order an involuntary emergency commitment be made in accordance with a standard that promises some reasonable degree of accuracy." Id.

While the plaintiff disputes the conclusion reached by the defendant doctors that he posed a substantial risk of serious harm to himself and his family, there is no allegation in the plaintiff's first amended complaint that the defendants failed to comply with the New York State Mental Hygiene Law which has been held by the Second Circuit as facially constitutional. See Project Release v. Prevost, 722 F. 2d 960, 971 (2d Cir. 1983). With regard to the June 13, 1995 admission, the plaintiff was examined by three doctors who concluded that he required involuntary confinement. Similarly, with regard to the October 1, 1995 admission, the plaintiff was examined by four doctors who all concluded that he should be involuntarily confined. Moreover, after Mawhirt requested a court hearing, Justice Fierro confirmed the diagnosis of paranoid schizophrenia and that Mawhirt posed a substantial risk of physical harm to himself and society. Accordingly, while the plaintiff disputes the conclusions reached by the doctors, as a matter of law, there are no genuine issues of material fact with regard to whether Mawhirt was afforded procedural due process as he received all the process that was due to him under the New York State Mental Hygiene Law. As such, the Court grants the motions dismissing the first cause of action as it relates to the defendant doctors as well as the tenth cause of action.

The claim that Officers Gonzalez and Romagnoli violated the plaintiff's procedural due process rights when they transported to the UHSB on October 1, 1995 is also without merit. New York Mental Hygiene Law § 9.41 states that:

> Any ... police officer ... may take into custody a person who appears to be mentally ill and is conducting himself or herself in a manner which is likely to result in serious harm to the person or others. Such officer may direct the removal of

> such person or remove him or her to any hospital specified in ... section 9.39 or any comprehensive psychiatric emergency program...

As such, Mawhirt cannot claim that his procedural due process rights were violated as the statute does not provide any specific procedure or hearing prior to being transported to a hospital or psychiatric emergency program. In other words, according to the New York Mental Hygiene Law, the plaintiff was not entitled to any process before being transferred to the UHSB. Accordingly, the Court dismisses the first cause of action as it relates to any claim that Officers Gonzalez and Romagnoli violated the plaintiff's right to procedural due process.

2. Substantive Due Process and Qualified Immunity

Without deciding whether substantive due process prohibits the confinement of a nondangerous individual, the Court is of the view that in this particular case, qualified immunity shields the state defendants that are accused of violating the plaintiff's substantive due process rights.

The issue of qualified immunity is one that should be decided upon a motion for summary judgment, and before discovery, whenever possible. Harlow v. Fitzgerald, 457 U.S. 800, 818, 102 S. Ct. 2727, 2738, 73 L. Ed. 2d 396 (1982). In Weaver v. Brenner, 40 F. 3d 527 (2d Cir. 1994), the Second Circuit stated that:

> The goal of § 1983 is to deter public officials From violating citizen's federal rights and to compensate victims of such official wrongdoing. The doctrine of qualified immunity weighs this important interest in citizens' civil rights against

> the policies of encouraging qualified persons to accept public positions and ensuring that public officials vigorously discharge their duties." The balance struck under the doctrine of qualified immunity has been formulated as a rule: public officials are immune from § 1983 civil rights suits brought by an aggrieved citizen when their "conduct does not violate clearly established statutory or constitutional rights of which a reasonable person would have known." Harlow v. Fitzgerald, 457 U.S. 800, 818 102 S Ct. 2727, 2738, 73 L Ed. 2d 396 (1982). It is not necessary that the questioned action have been previously held unlawful for the official to be held liable; rather, it is enough if the unlawfulness is apparent in the light of pre-existing law. See Anderson v. Creighton, 483 U.S. 635, 640, 107 S Ct. 3034, 3039, 97 L. Ed. 2d 523 (1987) .... Further, even when the right in question was clearly established at the time of the alleged unlawful conduct, an official is still entitled to immunity were it objectively reasonable for him to believe the conduct lawful. See O'Neill v. Babylon, 986 F. 2d 646, 649 (2d Cir. 1993).
>
> Id. at 532-33.

Government actors who perform discretionary functions are protected from liability for civil damages where their conduct does not violate a clearly established constitutional right of which a reasonable person would have known. Doe v. Marsh, 105 F. 3d 106, 109 (2d Cir. 1997); Salim v. Proulx, 93 F. 3d 86, 89 (2d Cir. 1996); Lennon v. Miller, 66 F. 3d 416, 420 (2d Cir. 1995).

Even where the plaintiff's rights and the scope of the officials' permissible conduct are clearly established, the

qualified immunity defense protects a governmental actor if it was "objectively reasonable" for the actor to believe that his actions were lawful at the time of the alleged unlawful conduct. Marsh, 105 F. 3d at 109-10; Lennon, 66 F. 3d at 420.

The facts surrounding and leading to the plaintiff's involuntary confinements warrant a finding that the defendants' actions were objectively reasonable. When the plaintiff went to the UHSB on June 13, 1995 complaining of insomnia, Dr. Preval noted that the plaintiff was having paranoid delusions that the FBI, CIA, and Mafia were after him and his family. In addition, Dr. Preval revealed that the plaintiff believed that his phones were bugged. Furthermore, a separate psychiatric assessment was made by Dr.Cieszkowski and recorded the plaintiff's extreme paranoia. Dr. Cieszkowski also indicated that the plaintiff had locked his family in the house. In addition to the reports of Drs. Preval and Cieszkowski, after examining the plaintiff, Dr. Jafri reported that the plaintiff was fearful that he and his family were being watched and monitored by a camera. Dr. Jafri also report revealed that the plaintiff believed that he had received messages from the television and toys.

With regard to the October, 1995 involuntary confinement, the defendant doctors were informed by Officer Gonzalez that Mawhirt had a history of schizophrenia; was not taking his medicine; was hearing voices and receiving messages through the television. In addition, the plaintiff's wife indicated that Mawhirt was verbally abusive; talked about "revenge;" instructed her not to talk in the home about private things because the neighbors had installed video cameras in the home to spy on them; and was under the belief that two helicopters were flying over their home in an effort to spy on them. As previously stated, Dr. Ahmed diagnosed Mawhirt as chronic paranoid schizophrenic with acute exacerbation. Dr. Karant confirmed this diagnosis and concluded that Mawhirt was "unpredictable" and a

"substantial threat" to the community. In addition, Dr. Greenstein concluded that Mawhirt was delusional and capable of unpredictable violence.

As stated above, these findings were confirmed on October 20, 1995 by Justice Fierro. At the hearing, Dr. Ramos testified that he overheard Mawhirt telling other staff members that he felt unsafe in certain portions of the hospital due to some kind of surveillance cameras that were monitoring his behavior. Dr. Ramos concluded that the plaintiff posed a risk to himself and society if he were to be released and that the plaintiff should be required to take medication. In addition, Mawhirt's own testimony confirmed that he was receiving messages from the television and that he was under surveillance.

The substantive due process component of the plaintiff's first amended complaint is based on his belief that he was mistakenly diagnosed as being dangerous and should therefore not have been involuntarily confined. While the plaintiff repeats his belief that he was not dangerous in his approximate 114 pages of motion papers, the plaintiff has not offered any medical evidence or other expert testimony to rebut the findings of the defendant doctors who concluded that Mawhirt was a substantial danger to himself and to his family.

As such, the Court finds that, under the clear legal precedent in this Circuit, the defendant doctors actions were objectively reasonable and are entitled to qualified immunity as a matter of law. See e.g., Glass v. Mayas, 984 F. 2d 55, 57 (2d Cir. 1993) ("we agree with Judge Platt that the defendant's actions were objectively reasonable. Glass was hospitalized following two reports that he was threatening an individual with a gun and observations of strange behavior. Furthermore, his demeanor was variously described by those who examined him as hostile, guarded, angry, suspicious, uncooperative, and paranoid."); Katzman v. Kahn, 67 F. Supp. 2d 103, 110 (E.D.N.Y. 1999) (holding that because the undisputed facts "show that both the state and city defendant

doctors acted in an objectively reasonable manner in finding plaintiff to be a danger to others ... they are qualifiedly immune from liability under Section 1983."); Demarco v. Sadiker, 897 F. Supp. 693 (E.D.N.Y. 1995), rev'd on other grounds, 1999 WL 1024696 (2d Cir. Nov. 8, 1999) (holding that despite the fact the plaintiff submitted expert testimony form a doctor who concluded that it was not objectively reasonable for the plaintiff to be involuntarily confined, the defendants were entitled to qualified immunity); Richardson v. Nassau County Medical Center, 840 F. Supp. 219 (E.D.N.Y. 1994) (holding that it was objectively reasonable to involuntarily confine the plaintiff due to the findings of two different physicians that the plaintiff was carrying knives for his own protection and was delusional and paranoid."); dePoel v. City of New York, 772 F. Supp. 106, 108 (E.D.N.Y. 1991) ("[plaintiff's] claim that the doctors did not make the requisite findings is inconsistent with their reports. There is no suggestion that the doctors maliciously or in bad faith declined to follow the statutory procedure.") Accordingly, the Court grants the defendants' motion and dismisses the plaintiff's third cause of action.

Further, the Court finds that any substantive due process claim, procedural due process claim, or claim of assault and battery against any of the defendant doctors or Nurse Irion for administering anti-psychotic medication is also precluded by the doctrine of qualified immunity. See Kuklak v. City of New York, 88 F. 3d 63, 75 (2d Cir. 1996) (holding that "[a]s the Supreme Court has instructed, a doctor will not be liable under § 1983 for the treatment decisions she makes unless the decisions are such a substantial departure from accepted judgment, practice, or standards as to demonstrate that she actually did not base the decision on such a judgment.") The plaintiff has not offered any evidence that any of the medical defendants departed from accepted judgment, practice or standards. As such, the Court grants the defendant's motion and dismisses the seventh, eight, and ninth causes of action

alleging that the plaintiff was given improper medication while at the UHSB.

Finally, the Court finds that the plaintiff does not have a viable claim that Officers Gonzalez and Romagnoli violated his substantive due process rights when he was transported to UHSB on October 1, 1995. Where a particular amendment provides an explicit textual source of constitutional protection against a specific government behavior, "that Amendment, not the more generalized notion of substantive due process, must be the guide for analyzing [the] claim." Albright v. Oliver, 510 U.S. 266, 273 (1994). Thus any claim against the Officers would not be a claim of substantive due process, but a claim that the Officer's violated the Fourth Amendment. See Glass v. Mayas, 984 F. 2d 55, 58 (2d Cir. 1993) (holding that when the Crisis Team took the plaintiff to the hospital against his will, the Fourth Amendment was applicable.) Even if the Court were to construe the plaintiff's first amended complaint as setting forth a Section 1983 Fourth Amendment claim, the Court is on the view that the officers acted reasonably and violated no clearly established rights when they brought Mawhirt to the UHSB and would thus be entitled to qualified immunity, as a matter of law. Therefore, the Court grants the defendants' motion to dismiss the second cause of action.

C. False Imprisonment

The claims asserting that the defendant doctors falsely imprisoned the plaintiff are barred by the doctrine of qualified immunity for the reasons set forth above. The defendant doctors were objectively reasonable when they confined the plaintiff at the UHSB due to their objectively reasonable conclusion that he was a substantial risk to himself and the community. As such, the fifth and sixth causes of action asserting that the defendant doctors falsely imprisoned the plaintiff are dismissed.

With regard to the plaintiff's state law claim that Officers Gonzalez and Romagnoli falsely imprisoned the plaintiff on October 1, 1995 when they brought him to the UHSB, the Court notes that to date, the plaintiff has not filed a notice of claim pursuant to General Municipal Law § 50-m. Therefore, the fourth cause of action against Officers Gonzalez and Romagnoli must be dismissed. See Wrenn v. New York City Health & Hospitals Corp., 104 F.R.D. 553 (S.D.N.Y. 1985).

D. Ineffective Assistance of Counsel

The plaintiff also claims that he received ineffective assistance of counsel at the October 20, 1995 hearing. The Court notes that "it is well established that court appointed attorneys performing a lawyer's traditional function as counsel ... do not act under color of state law and therefore are not subject to suit under 42 U.S.C. § 1983." Rodriguez v. Weprin, 116 F. 3d 62, 65-66 (2d Cir. 1997); see also Gomez v. Toledo, 451 U.S. 527, 536 (1980). In addition, the Court has reviewed the minutes of the October 20, 1995 hearing and finds that Dye provided the plaintiff with adequate counsel, as a matter of law. Therefore, the Court grants the defendant's motion to dismiss the eleventh cause of action asserting Section 1983 claim against Dye on the ground that he provided ineffective assistance of counsel.

E. Barbara Mawhirt

The exercise of supplemental jurisdiction by a Federal court over a state law claim is governed by 28 U.S.C. § 1367 which provides, in relevant part, that "[t]he district court may decline to exercise supplemental jurisdiction over a claim ... [if] the district court has dismissed all claims over which it has original jurisdiction." (28 U.S.C. § 1367[c][3]).

According to Second Circuit law, in a case addressing the discretionary exercise of supplemental jurisdiction, "'in the usual case in which all federal-law claims are eliminated before trial, the balance of factors to be considered ... will point toward declining to exercise jurisdiction over the remaining state-law claims'" (Travelers Ins. Co. v. Keeling, 996 F. 2d 1485, 1490 [2d Cir. 1993] [quoting Carnigie-Mellon Univ. v. Cohill, 484 U.S. 343, 350 (1988)]; see also United Mine Workers v. Gibbs, 383 U.S. 715, 726 [1966] [discussing pendent jurisdiction]; Morse v. University of Vermont, 973 F. 2d 122, 127 [2d Cir. 1992] [same]).

As the Court has dismissed all the federal Section 1983 causes of action and as the case is not near the trial stage, the Court declines to retain jurisdiction over the one cause of action asserted against Barbara Mawhirt alleging that she committed assault and battery by forcing the plaintiff to take an anti-psychotic drug. Accordingly, sua sponte, the Court dismissed the ninth cause of action as it pertains to Barbara Mawhirt.

III. CONCLUSION

Having reviewed all parties' submissions, it is hereby **ORDERED**, that the defendants' motions to dismiss and for summary judgment seeking dismissal of the complaint are all **GRANTED** and the plaintiff's complaint is dismissed in its entirety; and it is further **ORDERED**, that the Clerk of the Court is directed to close the case. **SO ORDERED**.

Uniondale, New York
February 14, 2000

"s/ Arthur D. Spatt"
ARTHUR D. SPATT
United States District Court Judge